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Attorney Docket No.: 2002082-0002

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Stoll, Andrew

Examiner:

Serial No.: 10/083,913

Art Unit: 1614

Filing Date: February 27, 2002

Title: OMEGA-3 FATTY ACIDS IN THE TREATMENT OF  
DEPRESSIONCommissioner for Patents  
Washington, DC 20231

Sir:

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS****37 CFR 1.9(f) and 1.27(c)****INDEPENDENT INVENTOR(S)**

As below-named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code with regard to the invention titled:

**TITLE: SYSTEM AND METHOD FOR DATA EXCHANGE****INVENTOR(S): Andrew Stoll**

described in:

- ☐ the specification filed herewith  
☒ U.S. Patent Application Serial Number 10/083,913  
filed February 27, 2002  
☐ U.S. Patent Number \_\_\_\_\_  
issued \_\_\_\_\_

I have not assigned, granted, conveyed, or licensed and am under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern that would not qualify as a small business concern under 37 CFR 1.9(d) or a non-profit organization under 37 CFR 1.9(e).

Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed, or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ no such person, concern, or organization  
☐ persons, concerns, or organizations listed below\*:

\*NOTE: Separate verified statements are required from each named person, concern, or organization having rights to the invention, averring to their status as small entities. (37 CFR 1.27).

FULL NAME: Andrew Stoll

ADDRESS: 35 Old Winter St., Lincoln, MA 01773

☒ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NON-PROFIT ORGANIZATION

FULL NAME:

ADDRESS:

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NON-PROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF INVENTOR:

Andrew Stoll

ADDRESS OF INVENTOR

35 Old Winter Street

Lincoln, MA 01773

SIGNATURE: 

DATE: 9/16/02



Attorney Docket Number 2002082-0002

## DECLARATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Omega-3 Fatty Acids in the Treatment of Depression

the specification of which (I authorize Choate, Hall & Stewart to check one of the following, three choices, and fill in the blanks, if applicable):

\_\_\_\_\_ is attached hereto

X was filed on February 27, 2002 as Application Serial No. 10/083,913 and amended on \_\_\_\_\_ (if applicable).

\_\_\_\_\_ was filed as PCT international application No. \_\_\_\_\_, on \_\_\_\_\_ and was amended under PCT Article 19 on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understood the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledged the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):

Priority Claimed

_____ (Number)	_____ (Country)	_____ (Day/Month/Year/Filed)	Yes	No
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_____ (Number)	_____ (Country)	_____ (Day/Month/Year/Filed)	Yes	No
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I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

<u>09/269,361</u>	<u>March 22, 1999</u>	<u>Patented</u>
(Application Serial No.)	(filing date)	(status-patented, pending, abandoned)

PCT Applications designating the United States:

<u>PCT/US97/06712</u>	<u>April 23, 1997</u>	<u>Inactive</u>
(PCT Appl. No.)	(filing date)	(status-patented, pending, abandoned)


I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national filing date of this application.

Provisional Application(s):

<u>60/</u>	<u></u>	<u></u>
(Application Serial No.)	(filing date)	(status-patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United State Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

1) Full name of first inventor: Andrew Stoll

Inventor's signature:  Date: 9/16/02

Mailing Address: 35 Old Winter Street, Lincoln, MA 01773

Citizenship: USA

Residence (if different from mailing address): City: \_\_\_\_\_ State: \_\_\_\_\_

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**ATTORNEY DOCKET NO.: 2002082-0002**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant:** Stoll, Andrew

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Title: OMEGA-3 FATTY ACIDS IN THE TREATMENT OF DEPRESSION

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

## APPOINTMENT OF ATTORNEY

The undersigned hereby appoints Brenda Herschbach Jarrell, Registration No. 39,223; Sam Pasternack, Registration No. 29,576; Kevin M. Tormey, Registration No. 41,351; Karoline K.M. Shair, Registration No. 44,332; Elizabeth E. Nugent, Registration No. 43,839; Anne-Marie Dinius, Registration No. 45,592; Valarie B. Rosen, Registration No. 45,698; C. Hunter Baker, Registration No. 46,533; Monica Gerber, Registration No. 46,724; Elijah Cocks, Registration No. 47,499; John A. Hamilton, Registration No. 48,946, and Howard H. Bayless, Registration No. 51,245 as its attorneys and agents for prosecution of matters relating to the above-identified patent application and to conduct all business in the United States Patent and Trademark Office.

All correspondence should be sent to Valarie B. Rosen, Choate, Hall & Stewart,  
Exchange Place, 53 State Street, Boston, Massachusetts 02109.

Respectfully submitted,

Name: Andrew Stoll

Title: *Inventive*

Date: 9/16/02